

**Risk & Compliance – Available Candidates**

*Please find below details of experienced Sydney based candidates are actively seeking opportunities in Risk & Compliance.*

- Reference:** 1004742  
**Experience:** 10 years Risk and Compliance experience. He has worked for large fund managers as well as Investment Banks. He has been responsible for operational and risk compliance of wealth management products, wholesale managed funds and asset management.
- Reference:** 21491 – 17 years Risk and Compliance experience. He has worked for both Australian and London in both Investment Banks such as BNP Paribas and Professional Services firms such as Harbour Capital. He is in the process of finishing up a contract role where he is re-writing compliance manuals, KYC policies and assisting global compliance on cross jurisdiction policies.
- Reference:** 1096717  
**Experience:** 25 years Risk and Compliance experience. She has been working for both Investment and Retail Banks. In her last role she successfully lifted risk awareness so that the identification and mitigation of risk become part of the way the national business was run.
- Reference:** 1116145  
**Experience:** 4 years Risk and Compliance experience working for both Funds Management firms and Insurance firms. He has designed, implemented and refined compliance plans as well as being involved in board level reporting.
- Reference:** 1116410  
**Experience:** 11 years Risk and Auditing experience working for Professional Services firms. He has been involved in everything from transforming program operating model streams through to setting up the internal audit function for the Asia Pacific and South Pacific.
- Reference:** 1049557  
**Experience:** 8 years Risk and Auditing experience working for Retail Banks and Professional Services firms. He developed the best business “Risk in Change” model as well as having managed compliance monitoring reviews and certification/attestation for RCA and SOX Compliance.
- Reference:** 1088565  
**Experience:** 9 years Compliance experience working for Insurance firms as well as Retail Banks. He has been involved in things such as designing a Customer Risk Scoring methodology and he was designated as the bank’s compliance SME (technical lead and sign off) for a \$20 million + integrated risk and compliance software development.
- Reference:** 116323  
**Experience:** 19 years Risk and Auditing experience within Profession Services firms and Investment Banks. This candidate has recently relocated to Sydney after obtaining his Skilled Visa. He has experience reporting to board level as well as being the primary contact for operational risk which affected the whole firm.